

Union Eye Works, LLC

Notice of Privacy Practices

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

This Notice describes the privacy practices of Union Eye Works, LLC and all business associates with whom we may share your protected health and medical information. We provide the Notice of Privacy Practices to every patient who whom we have a direct treatment relationship after the November 27th, 2012 effective date. This Notice is also available to any member of the public and is posted within our reception area. Every effort will be made to obtain a signed Receipt of Notice of Privacy Practices from each patient that will be kept on file. If the patient refuses to sign the form, it will be noted that the Notice was given but the patient refused to or could not sign the receipt.

We understand that your protected health information is confidential and we are committed to maintaining its privacy. Federal law requires that we provide you with this Notice of our legal duties and privacy practices with respect to your protected health information. We are required to abide by the terms of this Notice when we use or disclose your protected health information.

HOW WE MAY USE AND DISCLOSE MEDICAL INFORMATION ABOUT YOU

We may use and disclose protected health information about you without your prior authorization for the following reasons:

Treatment Purposes. For example, to diagnose and treat your illness, activities related to providing services to the patient. In addition, we may contact you to provide appointment reminders. We may also disclose your protected health information to other providers involved in your treatment.

Payment Purposes. Such as the submission of claims and sending billing information to Medicare, Medicaid, your health insurer, HMO, or other company or program that will pay for your health care and any additional information requested by the insurance company so they can determine if they should pay the claim. If you are receiving treatment for a work-related injury, state law requires us to provide information to parties involved in the authorization and management of your claim.

Health Care Operations. For example, we may also disclose protected health information to other health care providers when such protected health information is required for them to treat you, receive payment for services they render to you, or conduct certain health care operations, such as quality assessment and improvement activities, and peer review.

Disclosure to Family, Close Friends and Other Caregivers. In an emergency situation, we may disclose protected health information about you to those involved in a patient's care when the patient approves or, when the patient is not present or not able to approve, when such disclosure is deemed appropriate in the professional judgment of the practice. When the patient is not present, we determine whether the law requires the disclosure of the patient's protected health information and if so, disclose only the information directly relevant to the person's involvement with the patient's health care. We do not disclose protected health information to a suspected abuser, if, in its professional judgment, there is reason to believe that such a disclosure could cause the patient serious harm. Further, we use and disclose information as required by law.

Other Disclosures Required by Law. We may also use or disclose protected health information about you without your prior authorization, subject to certain requirements and **as required by law**, for several other reasons to include: **public health activities** for the purpose of preventing or controlling diseases; **abuse and neglect**, to a governmental authority if we reasonably believe you are a victim of abuse, neglect or domestic violence or to avert a serious threat to health or safety; **health oversight activities or inspections**, to a health oversight agency that oversees the health care system; **judicial, administrative and law enforcement purposes** in response to a subpoena or a request by a law enforcement officer; **workers' compensation purposes** and your **health and safety**. We may also disclose your protected health information as appropriate to provide treatment in emergency situations. In those instances where we have not previously provided our Notice of Privacy Practices to a patient who receives direct treatment in an emergency situation, we provide the Notice as soon as practicable following the provision of emergency treatment.

We may contact individuals through telephone and mail with appointment reminders and may utilize facsimile transmissions for specific authorizations and prescription refills through pharmacies.

USES AND DISCLOSURES REQUIRING YOUR WRITTEN AUTHORIZATION

For any purpose other than the ones described above, we will only use or disclose your protected health information when you give us your written authorization. For instance, we will obtain your written authorization before we can send your protected health information to your employer or health plan sponsor, for underwriting and related purposes or life insurance company or to the attorney representing the other party in litigation in which you are involved.

Highly Confidential Information. Federal and state law requires special privacy protections for highly confidential information about you. Highly Confidential Information consists of protected health information related to: psychotherapy notes; mental health and developmental disabilities services; alcohol and drug abuse services; HIV/AIDS testing, diagnosis or treatment; venereal disease(s); genetic testing; child abuse and neglect; domestic abuse of an adult with a disability; or sexual assault. In order for us to disclose your Highly Confidential Information for a purpose other than those permitted by law, we must obtain your written authorization.

YOUR RIGHTS REGARDING YOUR MEDICAL INFORMATION

For Further Information or Complaints. If you have questions or are concerned that your privacy rights have been violated or disagree with a decision that we made about access to your protected health information, you may contact our Practice Manager who serves as the contact person for all issues related to the Privacy Rule. Written complaints may also be filed with the Secretary of the U.S. Department of Health and Human Services. All complaints must be in writing, must name the practice, must describe the acts or omissions that are the subject of the complaint, and must be filed within 180 days of the time you became aware or should have become aware of the violation. Complaints must be addressed to the attention of the Practice Manager at our address and to the Secretary of the U.S. Department of Health and Human Services at 200 Independence Avenue, SW, Washington, D.C. 20201. We will not retaliate or take any adverse action against you if you file a complaint.

Right to Receive an Accounting. We track all disclosures of protected health information that occur for purposes other than treatment, payment and health care operations that are not made to the individual or to a person involved in your care, that are not made as a result of a patient authorization and that are not made for national security or intelligence purposes or to correctional institutions or law enforcement officials. You may obtain an accounting of certain disclosures of your protected health information made by us in the six years prior to your request and does not apply to disclosures that occurred prior to November 27th, 2012. A request for disclosures must be made in writing and mailed or sent to the attention of the Practice Manager.

Right to Inspect and Copy Your Protected Health Information. You have a right to inspect or get a copy of your medical record file and billing records maintained by us. Under some circumstances, we may deny you access to a portion of your records. If you desire access to your records, please submit your request in writing to the Practice Manager.

Right to Amend Your Records. You have the right to request that we amend protected health information maintained in your medical record file or billing records. If you desire to amend your records, please submit your request in writing to the Practice Manager. We will comply with your request unless we believe that the information that would be amended is accurate and complete or other special circumstances apply. In the case of a requested amendment concerning information about the treatment of a mental illness or developmental disability, you have the right to appeal our decision not to amend your protected health information.

Right to Receive Confidential Communications. We accommodate all reasonable requests to keep communications confidential and to allow you to receive your protected health information by alternative means of communication or at alternative locations. A request for confidential communications must be in writing, must specify an alternative address or other method of contact and must provide information about how payment will be handled. The request should be submitted to the Practice Manager. We will determine the reasonableness based on the administrative difficulty of complying with the request. We will reject a request due to administrative difficulty if no independently verifiable method of communication such as a mailing address or published telephone number is provided for communications; or if the requestor has not provided information as to how payment will be handled.

Right to Request Restriction of Disclosures. You may submit a request in writing to the Practice Manager that we not use or disclose protected health information about you for treatment, payment or health care operations or to persons involved in your care except when specifically authorized by you, when required by law, or in an emergency. We will consider all requests for additional restrictions carefully, however we generally do not agree to any restrictions. The Practice Manager will notify the requestor in writing if we do not accept the requested restrictions of disclosure.

Authorization. We obtain written authorization from a patient or a patient's representative for the use or disclosure of protected health information for reasons other than treatment, payment or health care operations. We will not, however, get an authorization for the use or disclosure of protected health information specifically allowed under the Privacy Rule in the absence of an authorization. We will provide patients with a copy of any authorization initiated by us and signed by the patient upon request at the time of signing the authorization.

We do not condition treatment of a patient on the signing of an authorization, except disclosure necessary to determine payment of claim (excluding authorization for use or disclosure of psychotherapy notes); or provision of health care solely for the purpose of creating protected health information for disclosure to a third party (pre-employment or life insurance exams).

A specific written authorization is required to disclose or release mental health treatment notes, alcoholism treatment, drug abuse treatment or HIV/ Acquired Immune Deficiency Syndrome (AIDS) information.

Right to Revoke Your Authorization. You have the right to revoke your written authorization obtained in connection with the release of your protected health information or mental health information, except to the extent that we have taken action in reliance upon it, by submitting your request in writing to the Practice Manager.

Effective Date and Changes to this Notice. This Notice is effective November 27th, 2012. We reserve the right to revise this Notice at any time. If we change this Notice, we may make the new notice terms effective for all protected health information that we maintain, including any information created or received prior to issuing the new Notice. If we change this Notice, the new Notice will be posted in the reception area of Union Eye Works, LLC. You may also obtain any new Notice by contacting the Practice Manager.

Privacy Officer. You may contact Union Eye Works, LLC: Practice Manager at 9 School St #B1, Unionville, CT 06085-1018; telephone (860) 255-7691.